

AUG 03 2006

BARNES & THORNBURG LLP

600 One Summit Square
Fort Wayne, Indiana 46802
(260) 423-9440PATENT APPLICATION
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Customer No.: 23641

Application No.: 09/804667

Confirmation

No.: 1994

Filing Date: March 12, 2001

Group Art

Unit: 3624

Examiner

Name: Jocelyn Greimel

Attorney

Docket No.: 37168/82320

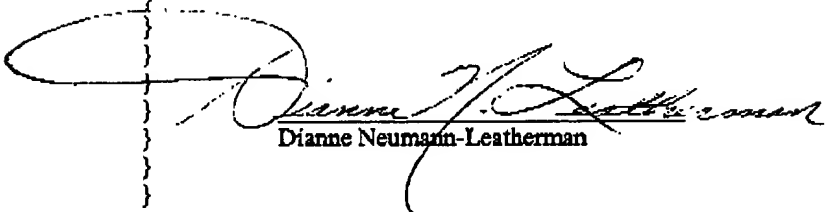
First Named

Inventor: Schwartz, Denis G.

Title:

METHOD AND APPARATUS FOR
PROVIDING RETIREMENT
INCOME BENEFITSCertificate Under 37 CFR 1.8(a)I hereby certify that this correspondence is
being facsimile transmitted to Examiner
Jocelyn Greimel, United States Patent and
Trademark Office; Fax No. (571) 273-8300.

on August 3, 2006


Dianne Neumann-Leatherman

TRANSMITTAL FORM

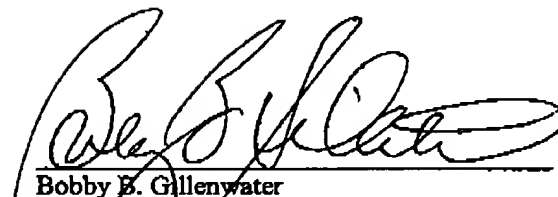
(to be used for all correspondence after initial filing)

ENCLOSURES (check all that apply)

- | | |
|---|--|
| <input checked="" type="checkbox"/> Fee Transmittal Form | <input type="checkbox"/> Express Abandonment Request |
| <input checked="" type="checkbox"/> Fee - Deposit Acct. 02-1010 | <input type="checkbox"/> Information Disclosure Statement |
| <input checked="" type="checkbox"/> Amendment/Response | <input type="checkbox"/> Certified Copy of Priority Document(s) |
| <input type="checkbox"/> After Final | <input type="checkbox"/> Response to Missing Parts/Incomplete Application |
| <input type="checkbox"/> Affidavits/declaration(s) | <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 |
| <input checked="" type="checkbox"/> Extension of Time Request - 1 mo. | |

- | | |
|---|--|
| <input type="checkbox"/> Drawing(s) | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences |
| <input type="checkbox"/> Licensing-related Papers | <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> Petition | <input type="checkbox"/> Proprietary Information |
| <input type="checkbox"/> Petition to Convert to a Provisional Application | <input type="checkbox"/> Status Letter |
| <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address | <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): |
| <input type="checkbox"/> Terminal Disclaimer | (a) Facsimile Cover Sheet |
| <input type="checkbox"/> Request for Refund | (b) _____ |
| <input type="checkbox"/> CD, Number of CD(s) _____ | (c) _____ |
| <input type="checkbox"/> After Allowance Communication to Group | (d) _____ |

Remarks:


Bobby B. Gillenwater
Reg. No. 31,108
(260) 423-9440

Date: August 3, 2006

FWD501 BBG 209989
(Rev. 08/03)

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Examiner Name: Jocelyn Greimel
Attorney Docket No.: 37168/82320
First Named Inventor: Schwartz, Denis G
Title: METHOD AND APPARATUS FOR PROVIDING RETIREMENT INCOME BENEFITS

Certificate Under 37 CFR 1.8(a)

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Dianne Neumann-LeathermanFEE TRANSMITTAL FOR FY 2006

TOTAL AMOUNT OF PAYMENT: \$ 120.00

METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge this and any additional fee required under 37 CFR 1.16 and 1.17 and credit any overpayments to:

Deposit Account Number: 02-1010 (37168/82320)

Deposit Account Name: Barnes & Thornburg LLP

- ☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☐ Check ☐ Credit Card ☐ Money Order ☒ Deposit Acct. ☐ None

FEE CALCULATION**1. Basic Filing Fee:**

Large Fee Code	Entity Fee	Small Fee Code	Entity Fee	Fee Description	Fee Paid
1011	\$300.00	2011	\$150.00	Utility Filing Fee	
1012	\$200.00	2012	\$100.00	Design Filing Fee	
1017	\$200.00	2017	\$100.00	Design Filing Fee (CPA)	
1013	\$200.00	2013	\$100.00	Plant Filing Fee	
1014	\$300.00	2014	\$150.00	Reissue Filing Fee	
1005	\$200.00	2005	\$100.00	Provisional Filing Fee	
				SUBTOTAL (1)	0.00

2. Patent Search Fees:

Large Fee Code	Entity Fee	Small Fee Code	Entity Fee	Fee Description	Fee Paid
1111	\$500.00	2111	\$250.00	Utility Fee	
1112	\$100.00	2112	\$50.00	Design Fee	
1113	\$300.00	2113	\$150.00	Plant Fee	
1114	\$500.00	2114	\$250.00	Reissue Fee	
				SUBTOTAL (2)	0.00

3. Patent Examination Fees:

Large Fee Code	Entity Fee	Small Fee Code	Entity Fee	Fee Description	Fee Paid
1311	\$200.00	2311	\$100.00	Utility Examination Fee	
1312	\$130.00	2312	\$65.00	Design Examination Fee	
1313	\$160.00	2313	\$80.00	Plant Examination Fee	
1314	\$600.00	2314	\$300.00	Reissue Examination Fee	
				SUBTOTAL (3)	0.00

4. Extra Claim Fees:

		Extra Claims		Fee from Below	Fee Paid
Total Claims	_____	-20**=	_____ x	_____ =	0.00
Independent Claims	_____	- 3**=	_____ x	_____ =	0.00
Multiple Dependent Claim	_____			_____ =	0.00
				SUBTOTAL (4)	0.00

Large Fee Code	Entity Fee	Small Fee Code	Entity Fee	Fee Description
1202	\$50.00	2202	\$25.00	Claims in excess of 20
1201	\$200.00	2201	\$100.00	Independent claims in excess of 3
1203	\$360.00	2203	\$180.00	Multiple dependent claims, if not paid

1204	\$88.00	2204	\$44.00	** Reissue independent claims over original patent
1205	\$18.00	2205	\$9.00	** Reissue claims in excess of 20 and over original patent

** or number previously paid, if greater; For Reissues, see above. For multiple dependent claims, pay fee only once no matter how many multiple dependent claims you have.

5. Application Size Fee

If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$250.00 (\$125.00 for small entity) for each additional 50 sheets or fraction thereof.

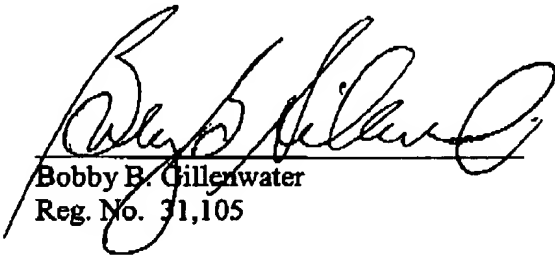
	Extra Sheets	Number of each additional 50 (Round up to whole number)	Fee from Below	Fee Paid
Total Sheets	<u> </u> - 100 = <u> </u> / 50 = <u> </u>	<u> </u>	<u> </u>	<u> </u>
SUBTOTAL (5)				0.00

Large Fee Code	Entity Fee	Small Fee Code	Entity Fee	Fee Description
1081	\$250.00	2081	\$125.00	Sheets in excess of 100

6. Additional Fees:

Large Fee Code	Entity Fee	Small Fee Code	Entity Fee	Fee Description	Fee Paid
1051	\$130.00	2051	\$65.00	Surcharge - late filing fee or oath	
1052	\$50.00	2052	\$25.00	Surcharge - late provisional filing fee or cover sheet	
1053	\$130.00	1053	\$130.00	Non-English specification	
1812	\$2,520.00	1812	\$2,520.00	For filing a request for ex parte reexamination	
1804	\$920.00*	1804	\$920.00*	Requesting publication of SIR prior to Examiner action	
1805	\$1,840.00*	1805	\$1,840.00*	Requesting publication of SIR after to Examiner action	
1251	\$120.00	2251	\$60.00	Extension for reply within first month	120.00
1252	\$450.00	2252	\$225.00	Extension for reply within second month	
1253	\$1,020.00	2253	\$510.00	Extension for reply within third month	
1254	\$1,590.00	2254	\$795.00	Extension for reply within fourth month	
1255	\$2,160.00	2255	\$1,080.00	Extension for reply within fifth month	
1401	\$500.00	2401	\$250.00	Notice of Appeal	
1402	\$500.00	2402	\$250.00	Filing a brief in support of an appeal	
1403	\$1,000.00	2403	\$500.00	Request for oral hearing	
1451	\$1,510.00	1451	\$1,510.00	Petition to institute a public use proceeding	
1452	\$500.00	2452	\$250.00	Petition to revive - unavoidable	
1453	\$1,500.00	2453	\$750.00	Petition to revive - unintentional	
1501	\$1,400.00	2501	\$700.00	Utility issue fee	
1502	\$800.00	2502	\$400.00	Design issue fee	
1503	\$1,100.00	2503	\$550.00	Plant issue fee	
1504	\$300.00	1504	\$300.00	Publication Fee for early, voluntary, or	

				normal Publication	
1460	\$130.00	1460	\$130.00	Petitions to the Commissioner	
1807	\$50.00	1807	\$50.00	Processing fee for provisional applications	
1808	\$130.00	1808	\$130	Processing fee, except for provisional applications	
1806	\$180.00	1806	\$180.00	Submission of Information Disclosure Statement	
8021	\$40.00	8021	\$40.00	Recording each patent assignment per property (times number of properties)	
1809	\$790.00	2809	\$395.00	Filing a submission after final rejection (37 CFR § 1.129(a))	
1810	\$790.00	2810	\$395.00	For each additional invention to be examined (37 CFR § 1.129(b))	
1801	\$790.00	2801	\$395.00	Request for Continued Examination (RCE)	
1802	\$900.00	1802	\$900.00	Request for expedited examination of a design application	
Other fee (specify):					
*Reduced by Basic Filing Fee Paid					
				SUBTOTAL (6)	120.00



Bobby B. Gillerwater
Reg. No. 31,105

Date: August 3, 2006

FWDS01 209987

(Rev. 04/06)